

## Recitation Guide - Week 12

---

**Topics Covered:** Probabilistic Method

**Problem 1:**

Let  $G$  be a bipartite graph with  $|V| = n$ . Suppose you give each vertex its own list of more than  $\log_2 n$  possible colors.

Show that it is possible to provide a valid coloring of  $G$  choosing each vertex's color from its own list

**Solution:**

Since  $G$  is bipartite we can partition the vertices into two set  $V_1$  and  $V_2$  such that all of the edges go between one vertex in  $V_1$  and one vertex in  $V_2$ .

Let  $X$  be the total set of colors from all lists. For each color in  $X$  we can flip a fair coin, so that our sample space  $\Omega = \{(c_1, \dots, c_{|X|}) \mid c_i \in \{H, T\}\}$ . When assigning colors the vertices in  $V_1$  can only take colors that got heads, and the vertices in  $V_2$  can only take colors that got tails. This will result in a valid coloring since all the vertices, since a vertex in  $V_1$  can never have the same color as a vertex in  $V_2$ .

We want to find the probability that that this strategy fails, that is that there exists some vertex in  $V_1$  with all colors in its list getting tails or a vertex in  $V_2$  with all colors in its list getting heads.

Let  $N$  be a random variable representing the number of vertices for which this process fails.

Let  $N_i$  be an indicator that is one if the  $i$ th vertex fails, 0 otherwise.

Suppose the length of the list of colors of vertex  $i$  is  $k$ .

$P(N_i = 1) = \frac{1}{2^k}$  since this is the probability every one of  $N_i$ 's colors in its list independently got the wrong color.

Since  $k > \log_2 n$ ,  $P(N_i = 1) < \frac{1}{n}$ , so  $\mathbb{E}(N_i) < \frac{1}{n}$ .

Since there are  $n$  vertices in the graph, we know that  $N = \sum_{i=1}^n N_i$ .

By the linearity of expectation,  $\mathbb{E}(N) = \sum_{i=1}^n \mathbb{E}(N_i) < 1$ .

Since  $\mathbb{E}(N) < 1$ , there exists a way to coloring that fails for no vertices.

**Problem 2:**

Given an arbitrary graph  $G(V, E)$ , show that there exists an independent set of size at least:

$$\sum_{v \in V} \frac{1}{\deg(v) + 1}$$

**Solution:**

We proceed by using the probabilistic method. We must come up with a random procedure to select a subset of the vertices such that they make up an independent set.

Consider a permutation of all the vertices uniformly at random, call it  $\pi$ . Thus, our sample space  $\Omega$  consists of all possible permutations of vertices. Consider a subset of vertices  $W$ , that appear before each of their neighbors in  $\pi$ . That is where for each  $w \in W$ , if there is an edge between  $w$  and some other vertex  $u$ ,  $w$  appears before  $u$  in  $\pi$ .

First, we note that  $W$  must be an independent set. Consider an arbitrary  $w \in W$ . For each of its neighbors  $v$ , we know that  $v \notin W$ , as  $w$  is a neighbor of  $v$  which appears earlier in  $\pi$ ; thus  $w$  will not be adjacent to any other vertex in  $W$ .

Now, let us define an random variable  $X$  denoting the size of  $W$ . We define indicators  $X_i$  which equals 1 if vertex  $v_i$  is in  $W$ , and 0 otherwise. We note that

$$X = \sum_{i=1}^{|V|} X_i$$

As such, we apply linearity of expectation to get that

$$\mathbf{E}[X] = \sum_{i=1}^{|V|} \mathbf{E}[X_i]$$

Now, it remains to calculate  $\mathbf{E}[X_i]$ . We note that as the permutations are chosen uniformly at random, the probability that  $v_i$  appears first before all of its neighbors is  $\frac{1}{\deg(v_i)+1}$

Since every permutation of all the vertices are equally likely to be selected, every permutation of  $v_i$  and its neighbors is also equally likely to be selected. Since only  $v_i$  and its neighbors affect  $P(X_i = 1)$  we can just consider the  $\deg(v_i)+1$  vertices that make up  $v_i$  and its neighbors. There are  $(\deg(v_i) + 1)!$  total permutations of these vertices. Additionally, there are  $\deg(v_i)!$  permutations where  $v_i$  appears first since you first give  $v_i$  the first spot then you arrange the other  $\deg(v_i)$  vertices.

This gives us a probability of  $\frac{\deg(v_i)!}{(\deg(v_i)+1)!} = \frac{1}{\deg(v_i)+1}$ .

As such, we see that

$$\mathbf{E}[X] = \sum_{i=1}^{|V|} \frac{1}{\deg(v_i) + 1}$$

Therefore, we can then conclude that there must exist some permutation  $\pi$  yielding  $W$  with cardinality at least  $\mathbf{E}[X]$ , as desired.