

Math 603, Spring 2003, HW 2, due 2/10/2003

Part A

AI) (Topologies and Presheaves). Let X be a topological space. We can make a category, \mathcal{T}_X , which is specified by and specifies the topology as follows: $\text{Ob } \mathcal{T}_X$ consists of the open sets in X . If $U, V \in \text{Ob } \mathcal{T}_X$, we let

$$\text{Hom}(U, V) = \begin{cases} \emptyset & \text{if } U \not\subseteq V, \\ \{\text{incl}\} & \text{if } U \subseteq V, \end{cases}$$

here $\{\text{incl}\}$ is the one element set consisting of the inclusion map $\text{incl} : U \rightarrow V$.

- (a) Show that $U \amalg_X V$ —the fibred product of U and V (over X) in \mathcal{T}_X —is just $U \cap V$. Therefore \mathcal{T}_X has finite fibred products.
- (b) If \mathcal{C} is a given category (think of \mathcal{C} as $\mathcal{S}\text{ets}$, $\mathcal{A}\text{b}$, or more generally Λ - $\mathcal{M}\text{odules}$) a *presheaf on X with values in \mathcal{C}* is a cofunctor from \mathcal{T}_X to \mathcal{C} . So, F is a presheaf iff $(\forall \text{ open } U \subseteq X)(F(U) \in \mathcal{C})$ and if $U \hookrightarrow V$, we have a map $\rho_V^U : F(V) \rightarrow F(U)$ (in \mathcal{C}) usually called *restriction from V to U* . Of course, we assume $\rho_V^W = \rho_U^W \circ \rho_V^U$. The basic example, from which all the terminology comes, is this:

$$\begin{aligned} \mathcal{C} &= \mathbb{R}\text{-modules (= vector spaces over } \mathbb{R} \text{)} \\ F(U) &= \{\text{continuous real valued functions on open set } U\}. \end{aligned}$$

Now recall that a category is an *abelian category* iff for each morphism $A \xrightarrow{\varphi} B$ in \mathcal{C} , there are two pairs: $(\ker \varphi, i)$ and $(\text{coker } \varphi, j)$ with $\ker \varphi$ and $\text{coker } \varphi$ objects of \mathcal{C} and $i : \ker \varphi \rightarrow A$, $j : B \rightarrow \text{coker } \varphi$ so that:

- 1) $\text{Hom}_{\mathcal{C}}(A, B)$ is a group, abelian, operation denoted $+$
- 2) $\ker \varphi \rightarrow A \rightarrow B$ is zero in $\text{Hom}_{\mathcal{C}}(\ker \varphi, B)$
- 3) If $C \xrightarrow{u} A \rightarrow B$ is zero, \exists a unique morphism $C \rightarrow \ker \varphi$ so that u is the composition $C \rightarrow \ker \varphi \xrightarrow{i} A$
- 4) Similar to 3) for coker , with appropriate changes.

Define $\text{Im } \varphi$ as $\ker(B \xrightarrow{j} \text{coker } \varphi)$. Now exact sequences make sense in \mathcal{C} (easy, as you see). Write $\mathcal{P}(X, \mathcal{C})$ for the category of presheaves on X with values in \mathcal{C} . If \mathcal{C} is abelian show that $\mathcal{P}(X, \mathcal{C})$ is an abelian category, too, in a natural way.

- (c) If $A \in \text{Ob } \mathcal{C}$, we can make a presheaf \underline{A} by: $\underline{A}(U) = A$, all open U and $V \hookrightarrow U$ then $\rho_V^U = \text{id}_A$. This is the *constant presheaf* with values in A . Generalize it as follows: Fix open U of X , define \underline{A}_U by:

$$\underline{A}_U(W) = \prod_{\text{Hom}(W, U)} A = \begin{cases} (0) & \text{if } W \not\subseteq U \\ A & \text{if } W \subseteq U. \end{cases}$$

Show \underline{A}_U is a presheaf and \underline{A} is one of these \underline{A}_U ; which one? Generalize further: Say \mathcal{F} is a presheaf of sets on X , define $\underline{A}_{\mathcal{F}}$ by:

$$\underline{A}_{\mathcal{F}}(W) = \prod_{\mathcal{F}(W)} A = \{\text{functions } : \mathcal{F}(W) \rightarrow A \mid \text{these functions have finite support}\}.$$

Make $\underline{A}_{\mathcal{F}}$ into a presheaf on X ; it is a clear generalization of \underline{A}_U and this, in turn, generalizes \underline{A} .

- (d) Just as with the defining example in (b), which is called the *presheaf of germs of continuous functions on X* so we can define the presheaf of germs of C^k -functions, real-analytic functions,

complex holomorphic functions, meromorphic functions when X is a real (resp. complex) manifold. Namely:

$$C^k(U) = \{f : U \rightarrow \mathbb{R} \mid f \text{ is } C^k \text{ on } U\}. \quad 0 \leq k \leq \infty$$

$$C^\infty(U) = \{f : U \rightarrow \mathbb{R} \mid f \text{ is real analytic on } U\}$$

$$\text{Hol}(U) = \{f : U \rightarrow \mathbb{C} \mid f \text{ is holomorphic on } U\}$$

$$\text{Mer}(U) = \{f : U \rightarrow \mathbb{C} \mid f \text{ is meromorphic on } U\}.$$

Prove: The collection $\{\mathbb{Z}_U \mid U \text{ open in } X\}$ is a *set of generators* for $\mathcal{P}(X, \mathcal{A}b)$; that is: \forall presheaves \mathcal{F} , \exists subcollection of the U 's, say $\{U_\alpha \mid \alpha \in \Lambda\}$, so that there is a surjection

$\coprod_I \left(\prod_{\alpha \in \Lambda} \mathbb{Z}_{U_\alpha} \right) \rightarrow \mathcal{F}$, for some *set* I . (Then it turns out that every presheaf embeds in an injective presheaf.)

- (e) Now sheaves are special kinds of presheaves. Say $U \in \mathcal{T}_X$ and we have a family of morphisms of \mathcal{T}_X : $\{U_\alpha \rightarrow U\}_{\alpha \in \Lambda}$ (we'll suppress mention of Λ in what follows). We call this family a *covering family* $\iff \bigcup_\alpha U_\alpha = U$, i.e. the U_α are an open covering of U . Of course, if $\xi \in F(U)$, then $\rho_{U_\alpha}^{U_\alpha} \xi \in F(U_\alpha)$, each α ; here, F is a presheaf. Hence we get a map

$$\theta : F(U) \rightarrow \prod_\alpha F(U_\alpha).$$

Now if $\xi_\alpha \in F(U_\alpha)$, for each α , then $\rho_{U_\alpha \cap U_\beta}^{U_\alpha \cap U_\beta}(\xi_\alpha)$ lies in $F(U_\alpha \cap U_\beta)$ therefore we get a map

$$p_{1,\alpha} : F(U_\alpha) \rightarrow \prod_\beta F(U_\alpha \cap U_\beta).$$

Take the product of these over α and get a map

$$p_1 : \prod_\alpha F(U_\alpha) \rightarrow \prod_{\alpha,\beta} F(U_\alpha \cap U_\beta).$$

If $\xi_\beta \in F(U_\beta)$ then $\rho_{U_\alpha \cap U_\beta}^{U_\alpha \cap U_\beta}(\xi_\beta) \in F(U_\alpha \cap U_\beta)$ therefore we get a map

$$p_{2,\beta} : F(U_\beta) \rightarrow \prod_\alpha F(U_\alpha \cap U_\beta).$$

Again the product over β gives:

$$p_2 : \prod_\beta F(U_\beta) \rightarrow \prod_{\alpha,\beta} F(U_\alpha \cap U_\beta),$$

hence we get two maps:

$$\prod_\gamma F(U_\gamma) \begin{array}{c} \xrightarrow{p_1} \\ \xrightarrow{p_2} \end{array} \prod_{\alpha,\beta} F(U_\alpha \cap U_\beta).$$

The definition of a *sheaf* is: a *sheaf*, F , of *sets* is a presheaf, F , of sets so that (\forall open U) (\forall covers $\{U_\alpha \rightarrow U\}_\alpha$) the sequence

$$F(U) \xrightarrow{\theta} \prod_\gamma F(U_\gamma) \begin{array}{c} \xrightarrow{p_1} \\ \xrightarrow{p_2} \end{array} \prod_{\alpha,\beta} F(U_\alpha \cap U_\beta) \quad (\text{S})$$

is exact in the sense that θ maps $F(U)$ bijectively to the set $(\xi_\gamma) \in \prod_\gamma F(U_\gamma)$ for which

$p_1((\xi_\gamma)) = p_2((\xi_\gamma))$. Show that the presheaves of germs of continuous, k -fold continuous, differentiable, analytic, holomorphic and meromorphic functions are all sheaves. In so doing understand

what exactness of sequence (S) means.

Prove, however, that \underline{A} is NOT a sheaf. (Note: a sheaf with values in $\mathcal{A}b$ or RNG or Ω -groups is just a presheaf with these values which forms a sheaf of sets.) For which presheaves, \mathcal{F} , is $\underline{A}_{\mathcal{F}}$ a sheaf?

AII) Let k be a field, X an indeterminate (or transcendental) over k . Write $A = k[X]$ and consider an ideal, \mathfrak{a} , of A . The ideal \mathfrak{a} determines a topology on $k[X]$ —called the \mathfrak{a} -adic topology—defined by taking as a fundamental system of neighborhoods of 0 the powers $\{\mathfrak{a}^n \mid n \geq 0\}$ of \mathfrak{a} . Then a fundamental system of neighborhoods at $\xi \in A$ is just the collection $\{\xi + \mathfrak{a}^n \mid n \geq 0\}$.

- (a) Show A becomes a topological ring (i.e. addition and multiplication are continuous) in this topology. When is A Hausdorff in this topology?
 (b) The rings $A/\mathfrak{a}^n = A_n$ form a left mapping system. Write

$$\widehat{A} = \varprojlim_n A/\mathfrak{a}^n$$

and call \widehat{A} the \mathfrak{a} -adic completion of A . There is a map $A \rightarrow \widehat{A}$; when is it injective?

- (c) Consider $\mathfrak{a} = (X) =$ all polynomials with no constant term. The ring \widehat{A} in this case has special notation: $k[[X]]$. Establish an isomorphism of $k[[X]]$ with the ring of formal power series over k (in X) i.e. with the ring consisting of sequences (c_n) , $n \geq 0$, $c_n \in k$ with addition and multiplication defined by:

$$\begin{aligned} (c_n) + (d_n) &= (c_n + d_n) \\ (c_n) \cdot (d_n) &= (e_n), \quad e_n = \sum_{i+j=n} c_i d_j \end{aligned}$$

$\left((c_n) \leftrightarrow \sum_{n=0}^{\infty} c_n X^n \text{ explains the name} \right)$

- (d) Show $k[X] \hookrightarrow k[[X]]$, that $k[[X]]$ is an integral domain and a local ring. What is its maximal ideal? Now $(X) = \mathfrak{a}$ is a prime ideal of $k[X]$, so we can form $k[X]_{(X)}$. Prove that

$$k[X] \subseteq k[X]_{(X)} \subseteq k[[X]].$$

We have the (prime) ideal $(X)^e$ of $k[X]_{(X)}$. Form the completion of $k[X]_{(X)}$ with respect to the $(X)^e$ -adic topology. What ring do you get?

AIII) Prove that in the category of commutative A -algebras, the tensor product is the coproduct:

$$B \otimes_A C \cong B \amalg_A C.$$

Which A -algebra is the product $B \amalg_A C$ (in commutative A -algebras)?

Part B

BI) Here A is a commutative ring and we write $M_n(A)$ for the ring of $n \times n$ matrices over A .

- (a) Prove: the following are equivalent
 1) A is noetherian
 2) For some n , $M_n(A)$ has the ACC on 2-sided ideals
 3) For all n , $M_n(A)$ has the ACC on 2-sided ideals.

- (b) Is this still valid if “noetherian” is replaced by “artinian” and “ACC” by “DCC”? Proof or counterexample.
- (c) Can you make this quantitative? For example, suppose all ideals of A are generated by less than or equal to N elements. What can you say about an upper bound for the number of generators of the ideals of $M_n(A)$? How about the converse?

BII) Refer to problem AII. Write $k((X))$ for $\text{Frac}(k[[X]])$.

- (a) Show that

$$k((X)) = \left\{ \sum_{j=-\infty}^{\infty} a_j X^j \mid a_j \in k, \text{ all } j \text{ and } (\exists N)(a_j = 0 \text{ if } j < N) \right\}$$

where on the right hand side we use the obvious addition and multiplication for such expressions. If $\xi \in k((X))$, write $\text{ord}(\xi) = N \iff N = \text{largest integer so that } a = 0 \text{ when } j < N$; here, $\xi \neq 0$. If $\xi = 0$, set $\text{ord}(\xi) = \infty$. One sees immediately that $k[[X]] = \{\xi \in k[[X]] \mid \text{ord}(\xi) \geq 0\}$.

- (b) Write \mathcal{U} for $\mathbb{G}_m(k[[X]])$ and \mathcal{M} for $\{\xi \mid \text{ord}(\xi) > 0\}$. Prove that $k((X)) = \mathcal{M}^{-1} \cup \mathcal{U} \cup \mathcal{M}$ (disjointly), where

$$\mathcal{M}^{-1} = \{\xi \mid 1/\xi \in \mathcal{M}\}.$$

Now fix a real number, c , with $0 < c < 1$. Define for $\xi, \eta \in k((X))$,

$$d(\xi, \eta) = c^{\text{ord}(\xi - \eta)},$$

then it should be clear that $k((X))$ becomes a metric space and that addition and multiplication are continuous in the metric topology. Prove that $k((X))$ is complete in this topology (i.e., Cauchy sequences converge), and that the topology is independent of which number c , $0 < c < 1$ is chosen.

- (c) Suppose $u \in k[[X]]$, $u = \sum_{j=0}^{\infty} a_j X^j$, and $a_0 = 1$. Pick an integer $n \in \mathbb{Z}$ and assume $(n, \text{char}(k)) = 1$. Prove: there exists $w \in k[[X]]$ such that $w^n = u$. There is a condition on k so that $k((X))$ is locally compact. What is it? Give the proof. As an example of limiting operations, prove

$$\frac{1}{1-x} = \sum_{j=0}^{\infty} X^j = \lim_{N \rightarrow \infty} (1 + X + \cdots + X^N).$$

- (d) Given $\sum_{j=-\infty}^{\infty} a_j X^j \in k((X))$, its derivative is defined formally as

$$\sum_{j=-\infty}^{\infty} j a_j X^{j-1} \in k((X)).$$

Assume $\text{ch}(k) = 0$. Check mentally that $\alpha' = 0$ ($\alpha \in k((X))$) $\implies \alpha \in k$. Is the map $\alpha \mapsto \alpha'$ a *continuous* linear transformation $k((X)) \rightarrow k((X))$? Set $\eta = \sum_{j=0}^{\infty} \frac{1}{j!} X^j$, so $\eta \in k((X))$. Prove that X and η are independent transcendentals over k .

- (e) A topological ring is one where addition and multiplication are continuous and we have a Hausdorff topology. Topological k -algebras (k has the discrete topology) form a category in which the morphisms are *continuous* k -algebra homomorphisms. An element λ in such a ring is *topologically nilpotent* iff $\lim_{n \rightarrow \infty} \lambda^n = 0$. Let \mathcal{N}_{top} denote the functor which associates to each topological

k -algebra the set of its topological nilpotent elements. Prove that \mathcal{N}_{top} is representable. As an application, let

$$s(X) = \sum_{j=0}^{\infty} (-1)^j \frac{X^{2j+1}}{(2j+1)!}, \quad c(X) = \sum_{j=0}^{\infty} (-1)^j \frac{X^{2j}}{(2j)!}.$$

Then $s'(X) = c(X)$ and $c'(X) = -s(X)$, so $c^2(X) + s^2(X)$ lies in k (the constants). Without computing $c^2(X) + s^2(X)$, show it is 1. (You'll need \mathcal{N}_{top} , so be careful.)

(f) Show that even though $k(X)$ is dense in $k((X))$, the field $k((X))$ possesses infinitely many independent transcendental elements over $k(X)$. (Suggestion: look in a number theory book under "Liouville numbers"; mimic what you find there.)

(g) Let $C_k(k((X))) = \{\alpha \in k((X)) \mid \alpha \text{ is algebraic over } k\}$. Show that $C_k(k((X))) = k$.

If $\text{ch}(k) = 0$ and $\mathbb{R} \subseteq k$, write $\binom{m}{j} = \frac{m(m-1)\cdots(m-j+1)}{j(j-1)\cdots 3 \cdot 2 \cdot 1}$ for $m \in \mathbb{R}$. If $\mathbb{R} \not\subseteq k$, do this only for $m \in \mathbb{Q}$. Set

$$y_m = \sum_{j=0}^{\infty} \binom{m}{j} X^j \in k[[X]].$$

If $m = r/s$, prove that $y_m^s = (1+X)^r$.

Note that $y_m = 1 + O(X)$ and that $O(X) \in \mathcal{N}_{\text{top}}(k[[X]])$. Let $L(1+X) = \sum_{j=0}^{\infty} (-1)^j \frac{X^{j+1}}{(j+1)!}$, and set $f(X)^m = \eta(m \cdot L(f(X)))$, where

$$\eta(X) = \sum_{j=0}^{\infty} \frac{1}{j!} X^j, \quad f(X) = 1 + O(X), \text{ some } O(X)$$

and $m \in \mathbb{R}$ (here, $\mathbb{R} \subseteq k$). Show that

$$(1+X)^m = y_m.$$

BIII) Say K is a field, A is a subring of K . Write $k = \text{Frac } A$.

(a) If K is a finitely generated A -module, prove that $k = A$.

(b) Suppose there exists finitely many elements $\alpha_1, \dots, \alpha_m \in K$ algebraic over k such that

$$K = A[\alpha_1, \dots, \alpha_m].$$

Prove $(\exists b \in A)(b \neq 0)$ (so that $k = A[1/b]$). Prove, moreover, that b belongs to every maximal ideal of A .

BIV) Refer to AI. Look at $\mathcal{P}(X, \mathcal{A}b)$.

(a) We have a functor $\mathcal{P}(X, \mathcal{A}b) \rightsquigarrow \mathcal{A}b$ for each $U \in \mathcal{O}b \mathcal{T}_X$, namely, $F \rightsquigarrow F(U)$. Show this functor is representable.

(b) Grothendieck realized that when computing algebraic invariants of a "space" (say homology, cohomology, homotopy, K -groups, ...) the sheaf theory one needs to use could be done far more generally and with far more richness if one abstracted the notion of "topology". Here is the generalization:

i. Replace \mathcal{T}_X by any category \mathcal{T} .

To do sheaves, we need a notion of "covering":

- ii. We isolate for each $U \in \text{Ob } \mathcal{T}$ some families of morphisms $\{U_\alpha \rightarrow U\}_\alpha$ and call each of these a “covering” of U . So we get a whole collection of families of morphisms called $\text{Cov } \mathcal{T}$ and we require
- A. Any isomorphism $\{V \rightarrow U\}$ is in $\text{Cov } \mathcal{T}$
 - B. If $\{U_\alpha \rightarrow U\}_\alpha$ is in $\text{Cov } \mathcal{T}$ and for all α , $\{V_\beta \rightarrow U_\alpha\}_\beta$ is in $\text{Cov } \mathcal{T}$, then $\left\{V_\beta^{(\alpha)} \rightarrow U_\alpha\right\}_{\alpha,\beta}$ is in $\text{Cov } \mathcal{T}$ (a covering of a covering is a covering).
 - C. If $\{U_\alpha \rightarrow U\}_\alpha$ is in $\text{Cov } \mathcal{T}$ and $V \rightarrow U$ is arbitrary then $U_\alpha \amalg_U V$ exists in \mathcal{T} and

$$\left\{U_\alpha \amalg_U V \rightarrow V\right\}_\alpha$$

is in $\text{Cov } \mathcal{T}$ (restriction of a covering to V is a covering of V ; this allows the relative topology—it is the axiom with teeth).

Intuition: A morphism $V \rightarrow U$ in \mathcal{T} is an “open subset of U ”. N.b. the same V and U can give more than one “open subset” (vary the morphism) so the theory is very rich. Our original example: $\mathcal{T} = \mathcal{T}_X$. The family $\{U_\alpha \rightarrow U\}_\alpha$ is in $\text{Cov } \mathcal{T}$ when and only when $\bigcup_\alpha U_\alpha = U$. Check the axioms A, B and C.

Now a presheaf is just a cofunctor $\mathcal{T} \rightarrow \text{Sets}$ or Ab , etc. and a sheaf is a presheaf for which

$$(S) \quad F(U) \rightarrow \prod_\gamma F(U_\gamma) \xrightleftharpoons[p_2]{p_1} \prod_{\alpha,\beta} F\left(U_\alpha \amalg_U U_\beta\right)$$

is exact for every $U \in \mathcal{T}$ and every $\{U_\gamma \rightarrow U\}_\gamma$ in $\text{Cov } \mathcal{T}$. One calls the category \mathcal{T} and its distinguished families $\text{Cov } \mathcal{T}$ a *site* (topology used to be called “analysis situs”)

Now given a category, say \mathcal{T} , assume \mathcal{T} has finite fibred products. A family of morphisms $\{U_\alpha \rightarrow U\}_\alpha$ in \mathcal{T} is called a family of *universal, effective epimorphisms* iff

- i. $\forall Z \in \text{Ob } \mathcal{T}$

$$\text{Hom}(U, Z) \rightarrow \prod_\gamma \text{Hom}(U_\gamma, Z) \xrightarrow{\cong} \prod_{\alpha,\beta} \text{Hom}(U_\alpha \amalg_U U_\beta, Z)$$

is exact (in Sets) AND

- ii. the same for $\left\{U_\alpha \amalg_U V \rightarrow V\right\}_\alpha$ vis a vis all Z as in i. (ii. expresses universality, i. expresses effectivity of epimorphisms).

Decree that $\text{Cov } \mathcal{T}$ is to consist of families of universal, effective epimorphisms. Show that \mathcal{T} with this $\text{Cov } \mathcal{T}$ is a site—it is called the *canonical site on \mathcal{T}* , denoted \mathcal{T}_{can} .

- (c) For \mathcal{T}_{can} , every representable cofunctor on \mathcal{T} is a sheaf (give the *easy* proof). Note that if $\mathcal{T} \subseteq \tilde{\mathcal{T}}$ where $\tilde{\mathcal{T}}$ is a bigger category, and if $\text{Cov } \mathcal{T}$ lies in the universal, effective epimorphisms for $\tilde{\mathcal{T}}$, then any cofunctor on \mathcal{T} , *representable in $\tilde{\mathcal{T}}$* , is a sheaf on \mathcal{T}_{can} . For example, prove that if $\tilde{\mathcal{T}}$ is all topological spaces and \mathcal{T}_X our beginning category of AI), then $\mathcal{T}_X \subseteq \tilde{\mathcal{T}}$ and prove: open coverings in \mathcal{T}_X (as in AI) are universal, effective epimorphisms in $\tilde{\mathcal{T}}$. Hence, for ANY topological space, Y , $U \rightsquigarrow \text{Hom}_{\text{top.spaces}}(U, Y)$ is a sheaf on \mathcal{T}_X .
- (d) Let $\mathcal{T} = \text{Sets}$ and let $\{U_\alpha \rightarrow U\}_\alpha$ be in $\text{Cov } \mathcal{T}$ when $\bigcup_\alpha (\text{Images of } U_\alpha) = U$. Prove that the sheaves on \mathcal{T} with values in Sets are exactly the representable cofunctors on \mathcal{T} .
- (e) Generalize (d): G is a given group, \mathcal{T}_G is the category of sets with a G -action. Make $(\mathcal{T}_G)_{\text{can}}$ the canonical site on \mathcal{T}_G . Prove: coverings are families $\{U_\alpha \rightarrow U\}_\alpha$ so that $\bigcup_\alpha (\text{Im } U_\alpha) = U$ (all are G -sets, morphisms are G -morphisms). Once again, prove: the sheaves on $(\mathcal{T}_G)_{\text{can}}$ are exactly the representable cofunctors on \mathcal{T}_G . Prove further: the sheaves on $(\mathcal{T}_G)_{\text{can}}$ with values in Ab form a category equivalent to the category of G -modules; namely sheaf \rightsquigarrow representable cofunctor \rightsquigarrow representing object, a G -module.