## Spring 2023 CIS 610

## Advanced Geometric Methods in Computer Science Jean Gallier

## Homework 2

February 23; Due March 14, 2023

**Problem B1 (60).** (a) Consider the map,  $f: \mathbf{GL}(n, \mathbb{R}) \to \mathbb{R}$ , given by

$$f(A) = \det(A).$$

Prove that df(I)(B) = tr(B), the trace of B, for any matrix B (here, I is the identity matrix). Then, prove that

$$df(A)(B) = \det(A)\operatorname{tr}(A^{-1}B),$$

where  $A \in \mathbf{GL}(n, \mathbb{R})$ .

(b) Use the map  $A \mapsto \det(A) - 1$  to prove that  $\mathbf{SL}(n, \mathbb{R})$  is a manifold of dimension  $n^2 - 1$ .

(c) Let J be the  $(n+1) \times (n+1)$  diagonal matrix

$$J = \begin{pmatrix} I_n & 0\\ 0 & -1 \end{pmatrix}.$$

We denote by SO(n, 1) the group of real  $(n + 1) \times (n + 1)$  matrices

$$\mathbf{SO}(n,1) = \{A \in \mathbf{GL}(n+1,\mathbb{R}) \mid A^{\top}JA = J \text{ and } \det(A) = 1\}$$

Check that  $\mathbf{SO}(n, 1)$  is indeed a group with the inverse of A given by  $A^{-1} = JA^{\top}J$  (this is the *special Lorentz group.*) Consider the function  $f: \mathbf{GL}^+(n+1) \to \mathbf{S}(n+1)$ , given by

$$f(A) = A^{\top}JA - J,$$

where  $\mathbf{S}(n+1)$  denotes the space of  $(n+1) \times (n+1)$  symmetric matrices. Prove that

$$df(A)(H) = A^{\top}JH + H^{\top}JA$$

for any matrix, *H*. Prove that df(A) is surjective for all  $A \in \mathbf{SO}(n, 1)$  and that  $\mathbf{SO}(n, 1)$  is a manifold of dimension  $\frac{n(n+1)}{2}$ .

Problem B2 (30). (a) Given any matrix

$$B = \begin{pmatrix} a & b \\ c & -a \end{pmatrix} \in \mathfrak{sl}(2, \mathbb{C}),$$

if  $\omega^2 = a^2 + bc$  and  $\omega$  is any of the two complex roots of  $a^2 + bc$ , prove that if  $\omega \neq 0$ , then

$$e^B = \cosh \omega \, I + \frac{\sinh \, \omega}{\omega} \, B,$$

and  $e^B = I + B$ , if  $a^2 + bc = 0$ . Observe that  $tr(e^B) = 2 \cosh \omega$ .

Prove that the exponential map, exp:  $\mathfrak{sl}(2,\mathbb{C}) \to \mathbf{SL}(2,\mathbb{C})$ , is *not* surjective. For instance, prove that

$$\begin{pmatrix} -1 & 1 \\ 0 & -1 \end{pmatrix}$$

is not the exponential of any matrix in  $\mathfrak{sl}(2,\mathbb{C})$ .

**Problem B3 (30).** Consider the parametric surface given by

$$\begin{aligned} x(u,v) &= \frac{8uv}{(u^2 + v^2 + 1)^2},\\ y(u,v) &= \frac{4v(u^2 + v^2 - 1)}{(u^2 + v^2 + 1)^2},\\ z(u,v) &= \frac{4(u^2 - v^2)}{(u^2 + v^2 + 1)^2}. \end{aligned}$$

The trace of this surface is called a *crosscap*. In order to plot this surface, make the change of variables

$$u = \rho \cos \theta$$
$$v = \rho \sin \theta.$$

Prove that we obtain the parametric definition

$$x = \frac{4\rho^2}{(\rho^2 + 1)^2} \sin 2\theta,$$
  

$$y = \frac{4\rho(\rho^2 - 1)}{(\rho^2 + 1)^2} \sin \theta,$$
  

$$z = \frac{4\rho^2}{(\rho^2 + 1)^2} \cos 2\theta.$$

Show that the entire trace of the surface is obtained for  $\rho \in [0, 1]$  and  $\theta \in [-\pi, \pi]$ . *Hint*. What happens if you change  $\rho$  to  $1/\rho$ ? Plot the trace of the surface using the above parametrization. Show that there is a line of self-intersection along the portion of the z-axis corresponding to  $0 \le z \le 1$ . What can you say about the point corresponding to  $\rho = 1$  and  $\theta = 0$ ?

Plot the portion of the surface for  $\rho \in [0, 1]$  and  $\theta \in [0, \pi]$ .

(b) Express the trigonometric functions in terms of  $u = \tan(\theta/2)$ , and letting  $v = \rho$ , show that we get

$$x = \frac{16uv^2(1-u^2)}{(u^2+1)^2(v^2+1)^2},$$
  

$$y = \frac{8uv(u^2+1)(v^2-1)}{(u^2+1)^2(v^2+1)^2},$$
  

$$z = \frac{4v^2(u^4-6u^2+1)}{(u^2+1)^2(v^2+1)^2}.$$

**Problem B4 (30).** Consider the parametric surface given by

$$\begin{aligned} x(u,v) &= \frac{4v(u^2 + v^2 - 1)}{(u^2 + v^2 + 1)^2},\\ y(u,v) &= \frac{4u(u^2 + v^2 - 1)}{(u^2 + v^2 + 1)^2},\\ z(u,v) &= \frac{4(u^2 - v^2)}{(u^2 + v^2 + 1)^2}. \end{aligned}$$

The trace of this surface is called the *Steiner Roman surface*. In order to plot this surface, make the change of variables

$$u = \rho \cos \theta$$
$$v = \rho \sin \theta.$$

Prove that we obtain the parametric definition

$$x = \frac{4\rho(\rho^2 - 1)}{(\rho^2 + 1)^2} \sin \theta,$$
  

$$y = \frac{4\rho(\rho^2 - 1)}{(\rho^2 + 1)^2} \cos \theta,$$
  

$$z = \frac{4\rho^2}{(\rho^2 + 1)^2} \cos 2\theta.$$

Show that the entire trace of the surface is obtained for  $\rho \in [0, 1]$  and  $\theta \in [-\pi, \pi]$ . Plot the trace of the surface using the above parametrization.

Plot the portion of the surface for  $\rho \in [0, 1]$  and  $\theta \in [0, \pi]$ .

Prove that this surface has five singular points.

(b) Express the trigonometric functions in terms of  $u = \tan(\theta/2)$ , and letting  $v = \rho$ , show that we get

$$x = \frac{8uv(u^2+1)(v^2-1)}{(u^2+1)^2(v^2+1)^2},$$
  

$$y = \frac{4v(1-u^4)(v^2-1)}{(u^2+1)^2(v^2+1)^2},$$
  

$$z = \frac{4v^2(u^4-6u^2+1)}{(u^2+1)^2(v^2+1)^2}.$$

**Problem B5 (160).** Consider the map  $\mathcal{H}: \mathbb{R}^3 \to \mathbb{R}^4$  defined such that

$$(x, y, z) \mapsto (xy, yz, xz, x^2 - y^2).$$

Prove that when it is restricted to the sphere  $S^2$  (in  $\mathbb{R}^3$ ), we have  $\mathcal{H}(x, y, z) = \mathcal{H}(x', y', z')$  iff (x', y', z') = (x, y, z) or (x', y', z') = (-x, -y, -z). In other words, the inverse image of every point in  $\mathcal{H}(S^2)$  consists of two antipodal points.

(a) Prove that the map  $\mathcal{H}$  induces an injective map from the projective plane onto  $\mathcal{H}(S^2)$ , and that it is a homeomorphism.

(b) The map  $\mathcal{H}$  allows us to realize concretely the projective plane in  $\mathbb{R}^4$  as an embedded manifold. Consider the three maps from  $\mathbb{R}^2$  to  $\mathbb{R}^4$  given by

$$\psi_{1}(u,v) = \left(\frac{uv}{u^{2}+v^{2}+1}, \frac{v}{u^{2}+v^{2}+1}, \frac{u}{u^{2}+v^{2}+1}, \frac{u^{2}-v^{2}}{u^{2}+v^{2}+1}\right),$$
  

$$\psi_{2}(u,v) = \left(\frac{u}{u^{2}+v^{2}+1}, \frac{v}{u^{2}+v^{2}+1}, \frac{uv}{u^{2}+v^{2}+1}, \frac{u^{2}-1}{u^{2}+v^{2}+1}\right),$$
  

$$\psi_{3}(u,v) = \left(\frac{u}{u^{2}+v^{2}+1}, \frac{uv}{u^{2}+v^{2}+1}, \frac{v}{u^{2}+v^{2}+1}, \frac{1-u^{2}}{u^{2}+v^{2}+1}\right).$$

Observe that  $\psi_1$  is the composition  $\mathcal{H} \circ \alpha_1$ , where  $\alpha_1 \colon \mathbb{R}^2 \longrightarrow S^2$  is given by

$$(u,v) \mapsto \left(\frac{u}{\sqrt{u^2 + v^2 + 1}}, \frac{v}{\sqrt{u^2 + v^2 + 1}}, \frac{1}{\sqrt{u^2 + v^2 + 1}}\right),$$

that  $\psi_2$  is the composition  $\mathcal{H} \circ \alpha_2$ , where  $\alpha_2 \colon \mathbb{R}^2 \longrightarrow S^2$  is given by

$$(u,v) \mapsto \left(\frac{u}{\sqrt{u^2 + v^2 + 1}}, \frac{1}{\sqrt{u^2 + v^2 + 1}}, \frac{v}{\sqrt{u^2 + v^2 + 1}}\right).$$

and  $\psi_3$  is the composition  $\mathcal{H} \circ \alpha_3$ , where  $\alpha_3 \colon \mathbb{R}^2 \longrightarrow S^2$  is given by

$$(u,v) \mapsto \left(\frac{1}{\sqrt{u^2 + v^2 + 1}}, \frac{u}{\sqrt{u^2 + v^2 + 1}}, \frac{v}{\sqrt{u^2 + v^2 + 1}}\right),$$

Prove that each  $\psi_i$  is injective, continuous and nonsingular (i.e., the Jacobian has rank 2).

(c) Prove that if  $\psi_1(u, v) = (x, y, z, t)$ , then

$$y^{2} + z^{2} \le \frac{1}{4}$$
 and  $y^{2} + z^{2} = \frac{1}{4}$  iff  $u^{2} + v^{2} = 1$ 

Prove that if  $\psi_1(u, v) = (x, y, z, t)$ , then u and v satisfy the equations

$$(y^{2} + z^{2})u^{2} - zu + z^{2} = 0$$
  
$$(y^{2} + z^{2})v^{2} - yv + y^{2} = 0.$$

Prove that if  $y^2 + z^2 \neq 0$ , then

$$u = \frac{z(1 - \sqrt{1 - 4(y^2 + z^2)})}{2(y^2 + z^2)} \quad \text{if} \quad u^2 + v^2 \le 1,$$

else

$$u = \frac{z(1 + \sqrt{1 - 4(y^2 + z^2)})}{2(y^2 + z^2)} \quad \text{if} \quad u^2 + v^2 \ge 1,$$

and there are similar formulae for v. Prove that the expression giving u in terms of y and z is continuous everywhere in  $\{(y, z) \mid y^2 + z^2 \leq \frac{1}{4}\}$  and similarly for the expression giving v in terms of y and z. Conclude that  $\psi_1 \colon \mathbb{R}^2 \to \psi_1(\mathbb{R}^2)$  is a homeomorphism onto its image. Therefore,  $U_1 = \psi_1(\mathbb{R}^2)$  is an open subset of  $\mathcal{H}(S^2)$ .

Prove that if  $\psi_2(u, v) = (x, y, z, t)$ , then

$$x^{2} + y^{2} \le \frac{1}{4}$$
 and  $x^{2} + y^{2} = \frac{1}{4}$  iff  $u^{2} + v^{2} = 1$ .

Prove that if  $\psi_2(u, v) = (x, y, z, t)$ , then u and v satisfy the equations

$$(x^{2} + y^{2})u^{2} - xu + x^{2} = 0$$
  
(x<sup>2</sup> + y<sup>2</sup>)v<sup>2</sup> - yv + y<sup>2</sup> = 0.

Conclude that  $\psi_2 \colon \mathbb{R}^2 \to \psi_2(\mathbb{R}^2)$  is a homeomorphism onto its image and that the set  $U_2 = \psi_2(\mathbb{R}^2)$  is an open subset of  $\mathcal{H}(S^2)$ .

Prove that if  $\psi_3(u, v) = (x, y, z, t)$ , then

$$x^{2} + z^{2} \le \frac{1}{4}$$
 and  $x^{2} + z^{2} = \frac{1}{4}$  iff  $u^{2} + v^{2} = 1$ .

Prove that if  $\psi_3(u, v) = (x, y, z, t)$ , then u and v satisfy the equations

$$(x^{2} + z^{2})u^{2} - xu + x^{2} = 0$$
  
(x<sup>2</sup> + z<sup>2</sup>)v<sup>2</sup> - zv + z<sup>2</sup> = 0.

Conclude that  $\psi_3 \colon \mathbb{R}^2 \to \psi_3(\mathbb{R}^2)$  is a homeomorphism onto its image and that the set  $U_3 = \psi_3(\mathbb{R}^2)$  is an open subset of  $\mathcal{H}(S^2)$ .

Prove that the union of the  $U_i$ 's covers  $\mathcal{H}(S^2)$ . Conclude that  $\psi_1, \psi_2, \psi_3$  are parametrizations of  $\mathbb{RP}^2$  as a smooth manifold in  $\mathbb{R}^4$ .

(d) Plot the surfaces obtained by dropping the fourth coordinate and the third coordinates, respectively (with  $u, v \in [-1, 1]$ ).

(e) Prove that if  $(x, y, z, t) \in \mathcal{H}(S^2)$ , then

$$\begin{aligned} x^2y^2 + x^2z^2 + y^2z^2 &= xyz \\ x(z^2 - y^2) &= yzt. \end{aligned}$$

Prove that the zero locus of these equations strictly contains  $\mathcal{H}(S^2)$ . This is a "famous mistake" of Hilbert and Cohn-Vossen in *Geometry and the Immagination*!

Finding a set of equations defining exactly  $\mathcal{H}(S^2)$  appears to be an open problem.

**Problem B6 (60 pts).** We can let the group SO(3) act on itself by conjugation, so that

$$R \cdot S = RSR^{-1} = RSR^{\top}.$$

The orbits of this action are the *conjugacy classes* of SO(3).

(1) Prove that the conjugacy classes of SO(3) are in bijection with the following sets:

- 1.  $C_0 = \{(0, 0, 0)\}$ , the sphere of radius 0.
- 2.  $C_{\theta}$ , with  $0 < \theta < \pi$  and

$$\mathcal{C}_{\theta} = \{ u \in \mathbb{R}^3 \mid ||u|| = \theta \},\$$

the sphere of radius  $\theta$ .

- 3.  $C_{\pi} = \mathbb{RP}^2$ , viewed as the quotient of the sphere of radius  $\pi$  by the equivalence relation of being antipodal.
- (2) Give  $M_3(\mathbb{R})$  the Euclidean structure where

$$\langle A, B \rangle = \frac{1}{2} \operatorname{tr}(A^{\top}B).$$

Consider the following three curves in SO(3):

$$c(t) = \begin{pmatrix} \cos t & -\sin t & 0\\ \sin t & \cos t & 0\\ 0 & 0 & 1 \end{pmatrix},$$

for  $0 \leq t \leq 2\pi$ ,

$$\alpha(\theta) = \begin{pmatrix} -\cos 2\theta & 0 & \sin 2\theta \\ 0 & -1 & 0 \\ \sin 2\theta & 0 & \cos 2\theta \end{pmatrix},\,$$

for  $-\pi/2 \leq \theta \leq \pi/2$ , and

$$\beta(\theta) = \begin{pmatrix} -1 & 0 & 0\\ 0 & -\cos 2\theta & \sin 2\theta\\ 0 & \sin 2\theta & \cos 2\theta \end{pmatrix},$$

for  $-\pi/2 \le \theta \le \pi/2$ .

Check that c(t) is a rotation of angle t and axis (0, 0, 1), that  $\alpha(\theta)$  is a rotation of angle  $\pi$  whose axis is in the (x, z)-plane, and that  $\beta(\theta)$  is a rotation of angle  $\pi$  whose axis is in the (y, z)-plane. Show that a log of  $\alpha(\theta)$  is

$$B_{\alpha} = \pi \begin{pmatrix} 0 & -\cos\theta & 0\\ \cos\theta & 0 & -\sin\theta\\ 0 & \sin\theta & 0 \end{pmatrix},$$

and that a log of  $\beta(\theta)$  is

$$B_{\beta} = \pi \begin{pmatrix} 0 & -\cos\theta & \sin\theta\\ \cos\theta & 0 & 0\\ -\sin\theta & 0 & 0 \end{pmatrix}.$$

(3) The curve c(t) is a closed curve starting and ending at I that intersects  $C_{\pi}$  for  $t = \pi$ , and  $\alpha, \beta$  are contained in  $C_{\pi}$  and coincide with  $c(\pi)$  for  $\theta = 0$ . Compute the derivative  $c'(\pi)$  of c(t) at  $t = \pi$ , and the derivatives  $\alpha'(0)$  and  $\beta'(0)$ , and prove that they are pairwise orthogonal (under the inner product  $\langle -, - \rangle$ ).

Conclude that c(t) intersects  $\mathcal{C}_{\pi}$  transversally in **SO**(3), which means that

$$T_{c(\pi)} c + T_{c(\pi)} \mathcal{C}_{\pi} = T_{c(\pi)} \operatorname{SO}(3).$$

This fact can be used to prove that all closed curves smoothly homotopic to c(t) must intersect  $C_{\pi}$  transversally, and consequently c(t) is not (smoothly) homotopic to a point. This implies that **SO**(3) is not simply connected, but this will have to wait for another homework!

TOTAL: 370 points.